SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Ш

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>ALLEN SIMON      |  |    |              |  | 2. Issuer Name and Ticker or Trading Symbol<br>Anebulo Pharmaceuticals, Inc. [ ANEB ] |                                   |                |  |  |                           |   | tionship of Reporting Person(s) to Issue<br>all applicable)<br>Director 10% ( |   |                         | er<br>Owner   |  |
|--|--|----|--------------|--|---|-----------------------------------|----------------|--|--|---------------------------|---|---|---|-------------------------|---|--|
| (Last) (First) (Middle)<br>C/O ANEBULO PHARMACEUTICALS, INC. |  |    |              |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>12/09/2022                        |                                   |                |  |  |                           |   | X Officer (give title below)  |   |                         | Other (specify<br>below)<br>utive Officer           |  |
| 1415 RANCH ROAD 620 SOUTH, SUITE 201                         |  |    |              |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)                              |                                   |                |  |  |                           | 6. Individual or Joint/Group Filing (Check Applicable Line)                         |   |   |                         |   |  |
| (Street)<br>LAKEWAY TX 78734                                 |  |    |              |  |   |                                   |                |  |  | X                         |   | d by One F<br>d by More   |   | g Person<br>ne Reportin | g Person  |  |
| (City)   | (State)  | (2 | Zip)         |  |   |                                   |                |  |  |                           |   |   |   |                         |   |  |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |    |              |  |   |                                   |                |  |  |                           |   |   |   |                         |   |  |
| Date   |  |    |              |  |   |                                   |                |  |  |                           |   |   |   |                         |   |  |
| 1. fitle of Secul  | rity (Instr. 3)  |    | i            | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)                           | 3.<br>Transac<br>Code (Ir<br>8)   | tion.          | 4. Securi                                    | ties Acquired (A<br>d Of (D) (Instr. 3,          | .) or                     | 5. Amount<br>Securities<br>Beneficially<br>Following                                | / Owned<br>Reported   | 6. Owne<br>Form: E<br>or Indir<br>(Instr. 4 | Direct (D)<br>rect (I)  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |
| 1. fitle of Secu   | rity (Instr. 3)  |    | i            | Date                                       | Execution Date, if any  | Transac<br>Code (Ir               | tion.          | 4. Securi                                    |  | .) or                     | 5. Amount<br>Securities<br>Beneficiall  | / Owned<br>Reported<br>n(s)   | Form: D<br>or Indir                         | Direct (D)<br>rect (I)  | Indirect<br>Beneficial                              |  |
| 1. fitle of Secu   | rity (Instr. 3)  |    | Table II - D | Date<br>(Month/Day/Year)<br>erivative Sec  | Execution Date, if any  | Transac<br>Code (Ir<br>8)<br>Code | v<br>v<br>spos | 4. Securi<br>Disposed<br>Amount<br>sed of, c | (A) or<br>(D) (Instr. 3,<br>(A) or<br>(D)<br>(D) | ) or<br>4 and 5)<br>Price | 5. Amount<br>Securities<br>Beneficially<br>Following<br>Transactio<br>(Instr. 3 and | / Owned<br>Reported<br>n(s)   | Form: D<br>or Indir                         | Direct (D)<br>rect (I)  | Indirect<br>Beneficial<br>Ownership                 |  |

|                                | Derivative<br>Security |            |  |      |   | Disposed of<br>(D) (Instr. 3, 4<br>and 5) |     |                     |                    |                 |                                     |        | Owned<br>Following<br>Reported | or Indirect<br>(I) (Instr. 4) |  |
|--------------------------------|------------------------|------------|--|------|---|---|-----|---------------------|--------------------|-----------------|-------------------------------------|--------|--------------------------------|-------------------------------|--|
|                                |                        |            |  | Code | v | (A)                                       | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |        | Transaction(s)<br>(Instr. 4)   |                               |  |
| Stock Option<br>(right to buy) | \$3.37                 | 12/09/2022 |  | A    |   | 70,246                                    |     | (1)                 | 12/08/2032         | Common<br>Stock | 70,246                              | \$0.00 | 70,246                         | D                             |  |

Explanation of Responses:

1. The shares vest in a series of sixteen (16) equal quarterly installments on the first day of each calendar quarter, commencing with the first day of the calendar quarter first occurring after December 9, 2022.

## Remarks:

12/13/2022

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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